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Jc759 U.S. PTO

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.:	D/99136	Total Pages:	
	First Named Inventor or Application Identifier			
	Nan-Xing Hu et al.			
	Express Mail Label No.:	EL394672156		

<b>APPLICATION ELEMENTS</b>  See MPEP Chapter 600 concerning utility patent application contents.	ADDRESS TO: <b>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</b>
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1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification (incl. claims) (Total Pages: 76)
3. ☐ Drawing(s) (35 USC 113) (Total Sheets: )  
  
☐ Informal ☐ Formal
4. ☒ Oath or Declaration (Total Pages: 2)
  - a. ☒ Newly executed ☐ Unexecuted  
(original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - ☐ i. DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference  
(usable if Box 4b is checked)  
The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (Identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

Jc584 U.S. PTO  
09/489144  
01/21/00

ACCOMPANYING APPLICATION PARTS	
8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input checked="" type="checkbox"/> Power of Attorney
10. <input type="checkbox"/> English Translation Document (if applicable)	
11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input checked="" type="checkbox"/> Copies of IDS Citations
12. <input type="checkbox"/> Preliminary Amendment	
13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
14. <input type="checkbox"/> Small Entity Statement(s)	<input type="checkbox"/> Statement filed in prior application, Status still proper and desired
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (If foreign priority is claimed)	
16. <input type="checkbox"/> Other:	

17. ☐ If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: /

18. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Same as prior application			<input checked="" type="checkbox"/> Correspondence address below		
NAME	John E. Beck				
ADDRESS	Xerox Corporation, Xerox Square - 20A				
CITY	Rochester	STATE	NY	ZIP CODE	14644
COUNTRY	U.S.A.	TELEPHONE	716-423-4687	FAX	716-423-5240 or 716-423-2750

Attorney Docket No. D/99136

19. ☐ Cancel in this application original claims: \_\_\_\_\_ of the prior application before calculating the filing fee.  
(At least one original independent claim is retained for this filing).

20. ☒ The filing fee is calculated below:

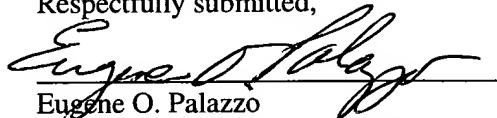
CLAIMS AS FILED, LESS ANY CLAIMS CANCELED BY ABOVE-INDICATED AMENDMENT(S)				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))	41 - 20 =	21	x \$ 18	= \$378.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	5 - 3 =	2	x \$ 78	= \$156.00
MULTIPLE DEPENDENT CLAIMS (IF APPLICABLE) (37 CFR 1.16(d))		ANY - - 0	\$ 260	= \$0.00
BASIC FEE (37 CFR 1.16(a))				\$760.00
TOTAL				= \$ 1,294.00

21. ☒ The Commissioner is hereby authorized to charge any filing or prosecution fees which may be required, under 37 CFR 1.16, 1.17, and 1.21 (but not 1.18), or to credit any overpayment, to Account No. 24-0025.  
An additional copy of this form is enclosed.
22. ☒ This is an authorization under 37 CFR 1.136(a)(3) to treat any concurrent or future reply, requiring a petition for extension of time, as incorporating a petition for the appropriate extension of time.
23. ☐ Amend the specification by inserting before the first line the sentence:  
--This application is a ☐ continuation ☐ continuation-in-part ☐ divisional  
of Application(s) No(s). \_\_\_\_\_, filed \_\_\_\_\_.--
24. ☐ A CIP declaration is enclosed.
25. ☒ Power of Attorney
- a. ☐ The power of attorney appears in the original papers of the enclosed prior application.
- b. ☐ Enclosed is a copy of the declaration and power of attorney from the enclosed prior application.
- c. ☒ A new declaration with power of attorney is enclosed.

Attorney Docket No.: D/99136

26. ☐ The following inventors named in the prior application are deleted per 37 CFR 1.53(b)(1), 1.63(d)(2) and 1.33 (b):
27. ☐ This application is adding one or more inventors under 37 CFR 1.48 to a previously executed application, with an enclosed: petition, fee, newly executed declaration from all inventors, and written consent of the assignee.
28. ☐ This application claims the priority benefit of one or more Provisional Application No(s). and the first sentence of this application has been or will be amended to so indicate.
29. ☐ Priority is claimed from (reinsert all previous priority claims for the entire chain of any prior applications).
30. ☐ Other paper(s) enclosed:

Respectfully submitted,



Eugene O. Palazzo

Signature per 37 CFR 1.33 & 34

Date: 1/21/2000

Registration No. 20,881

Telephone No. 716-423-4687

Attorney Docket No. D/99136

**APPLICATION FOR  
UNITED STATES LETTERS PATENT**

**TO ALL WHOM IT MAY CONCERN:**

Be it known that We, **Nan-Xing Hu**, a citizen of China and residing at Oakville, Ontario, Canada; **Mohammad Esteghamatian**, a citizen of Canada and residing at Hamilton, Ontario, Canada; **Zoran D. Popovic**, a citizen of Canada and residing at Mississauga, Ontario, Canada; **Beng S. Ong**, a citizen of Canada and residing at Mississauga, Ontario, Canada; and **Ah-Mee Hor**, a citizen of Canada and residing at Mississauga, Ontario, Canada, have invented

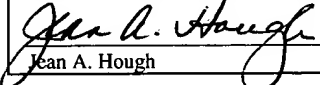
**ELECTROLUMINESCENT (EL) DEVICES**

EXPRESS MAIL LABEL NUMBER: EL394672156

Date of Deposit: January 21, 2000

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that the following paper is being deposited with the US Postal Service as "Express Mail" service under 37 C.F.R. 1.10 on the date indicated above addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

  
Jean A. Hough

Date: January 21, 2000